



PATENT Customer No. 22,852 Attorney Docket No. 03495.0217-00

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)			
Schwartz et al.	) Group Art Unit: 1648			
Application No.: 10/083,678	) Examiner: PARKIN, J.			
Filed: February 27, 2002	) Confirmation No. 9442			
WITHOUT VIRAL REPLICATION. AF	WITHOUT VIRAL REPLICATION. APPLICATION TO THE STIMULATION OF CTL AND VACCINATION IN VIVO; ANALYSIS OF VACCINATING			

# Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### TRANSMITTAL LETTER

Applicants enclose the following papers:

- 1. Information Disclosure Statement under 37 C.F.R. § 1.97(d) (2 pages);
- 2. PTO Form SB/08 (1 page) and one document; and
- 3. \$180 Check for requisite Information Disclosure Fees.

Kindly associate this submission with the above-identified application.

Application No.: 10/083,678 Attorney Docket No.: 03495-0217

Please grant any extensions of time required to enter these papers and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: May 19, 2005

By:

Salvatore J. Arrigo Reg. No. 46,063 Tel.: (202) 408-4160

Fax.: (202) 408-4400

E-mail: arrigos@finnegan.com



PATENT Customer No. 22,852 Attorney Docket No. 03495.0217-00

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:	)
Schwartz et al.	) ) Group Art Unit: 1648
Application No.: 10/083,678	) Examiner: PARKIN, J.
Filed: February 27, 2002	Confirmation No. 9442

For: MHC-I-RESTRICTED PRESENTATION OF HIV-1 VIRION ANTIGENS

WITHOUT VIRAL REPLICATION. APPLICATION TO THE STIMULATION OF

CTL AND VACCINATION IN VIVO; ANALYSIS OF VACCINATING

COMPOSITION IN VITRO

Mail Stop AF

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), applicants bring to the attention of the Examiner the document on the attached listing. This Information Disclosure Statement is being filed after a Final Action but before payment of the Issue Fee and is accompanied by a fee of \$180.00 as specified under § 1.17(p) and a certification as specified under § 1.97(e). Applicants respectfully request that the Examiner consider the listed document and indicate that is was considered by making appropriate notations on the attached form.

The document listed in this Information Disclosure Statement was first cited in a communication from the European Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

05/20/2005 SZEWDIE1 00000006 10083678

01 FC:1806

180.00 DP

Application No.: 10/083,678 Attorney Docket No.: 03495-0217

A copy of the listed foreign and non-patent literature document is attached.

Copies of U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed document

and indicate that it was considered by making appropriate notations on the attached

form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that the listed document is

material or constitute "prior art." If the Examiner applies the document as prior art

against any claims in the application and applicants determine that the cited document

does not constitute "prior art" under United States law, applicants reserve the right to

present to the office the relevant facts and law regarding the appropriate status of such

document.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed document, should it be applied

against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: May 19, 2005

Bv:

Salvatore J. Arrigo/Reg. No. 46,063

Tel.: (202) 408-4160 Fax.: (202) 408-4400

E-mail: arrigos@finnegan.com

-2-

DS Form PTO/SB/08: Substitute for form 1449A/PTO	
	Applicat
NFORMATION DISCLOSURE	Filing D
2005 STATEMENT BY APPLICANT	First Na
<b>a</b>	Art Unit
(Use as many sheets as necessary)	Examina

Complete if Known			
Application Number	10/083,678		
Filing Date February 27, 2002			
First Named Inventor	SCHWARTZ et al.		
Art Unit	1648		
Examiner Name	J. PARKIN		
Attorney Docket Number	03495.0217-00		

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner	Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where
Initials No	No.1	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			
		US-			
. ,	-	US-			
		US-			
	*** ****	US-			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
				-		
					<u></u>	نيـــــــا

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>	
		HAGLUND et al., "Expression of Human Immunodeficiency Virus Type 1 Gag Protein Precursor and Envelope Proteins from a Vesicular Stomatitis Virus Recombinant: High-Level Production of Virus-like Particles Containing HIV Envelope," Virology Vol. 268:112-121 (2000)		
		·		

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.